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2161 06-24-02
Docket No.: SONY 3.0-020
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Ludtke et al.

Application No.: 10/014,112

Filed: December 11, 2001

For: SYSTEM AND METHOD FOR CONDUCTING
SECURE TRANSACTION OVER A
NETWORK

Commissioner for Patents
Washington, DC 20231

Group Art Unit: 2161

Examiner: Not Yet Assigned

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Technology Center 2100

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Dear Sir:

It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. A copy of each piece of information is enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited information is legally available prior art or that the same are pertinent or material.

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Dated: May 28, 2002

Respectfully submitted,

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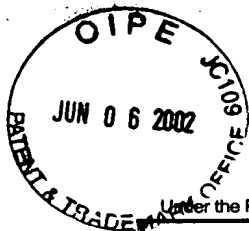
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| Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | | Complete if Known | | |
| | | | Application Number | 10/014,112 | |
| | | | Filing Date | December 11, 2001 | |
| | | | First Named Inventor | Harold Aaron Ludtke | |
| | | | Art Unit | 2161 | |
| | | | Examiner Name | Not Yet Assigned | |
| Sheet | 1 | of | 1 | Attorney Docket Number | SONY 3.0-020 |

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| U.S. PATENT DOCUMENTS | | | | | |
|-----------------------|-----------------------|-------------------------------------------------------------|--------------------------------|----------------------------------------------------|------------------------------------------------------------------------------------|
| Examiner Initials* | Cite No. ¹ | Document Number Number-Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | AA | 5,737,701 | 04/07/98 | Rosenthal et al. | |
| | AB | 6,105,010 | 08/15/00 | Musgrave | |
| | AC | | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | |
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| | BA | WO 99/06928 | 02/11/99 | | |

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